

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty Dkt. 922-108

C# M#

POULTER et al.

Group Art Unit: 2664

Serial No. 09/662,158

Examiner:

Filed: September 14, 2000

Date: February 22, 2002

Title: CASCADE ARCHITECTURE AND OPERATION  
FOR PACKET-BASED COMMUNICATION SYSTEMS

Assistant Commissioner for Patents

Washington, DC 20231

RECEIVED

MAR 01 2002

Technology Center 2600

Sir:

**PRELIMINARY AMENDMENT**

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

**Fees are attached as calculated below:**

Total effective claims after amendment 26 minus highest number  
previously paid for 20 (at least 20) = 6 x \$ 18.00 \$ 108.00

Independent claims after amendment 6 minus highest number  
previously paid for 3 (at least 3) = 3 x \$ 84.00 \$ 252.00

If proper multiple dependent claims now added for first time, add \$280.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this  
paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months) \$ 0.00

Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00

☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$740.00) \$ 0.00

☐ Please enter the previously unentered, filed

☐ Submission attached

**Subtotal \$ 360.00**

If "small entity," then enter half (1/2) of subtotal and subtract -\$ 0.00

☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 0.00

Assignment Recording Fee (\$40.00) \$ 0.00

Other: 0.00

**TOTAL FEE ENCLOSED \$ 360.00**

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
Arlington, Virginia 22201-4714  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100  
LSN:vc

NIXON & VANDERHYE P.C.  
By Atty: Larry S. Nixon, Reg. No. 25,640

Signature: Larry S. Nixon

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4  
Pre Amended  
3-8-02



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\* \* \* \* \*

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Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**PRELIMINARY AMENDMENT**

In order to place the above-identified application in better condition for examination, please amend the application as follows:

**IN THE CLAIMS**

Please add new claims 12-26:

AI  
--12. (New) A connecting unit for use in a system comprising a plurality of network communication units having a cascade connection including said connecting unit, the connecting unit having three ports consisting of a first, second and third port, each port of the connecting unit being adapted for coupling to one of said network communication unit and for forwarding and receiving data packets, the connecting unit

02/27/2002 MAHMED1 00000086 09662158

01 FC:103  
02 FC:102

108.00 OP  
252.00 OP